

**THE NEW YORK INDEPENDENT SYSTEM OPERATOR, INC.
MARKET PARTICIPANT AUDIT ADVISORY SUBCOMMITTEE MEETING**

**March 14, 2019
9:00 AM to 11:00 AM**

Location: Teleconference

Minutes

Member/Attendance List

Name	Company Representing	Sector	Voting Member (via phone)	Non-Voting Member
<i>Attending Members</i>				
Angela Gonzalez, Chair	NYPA	Public Power	X	
Kathleen Mitterway, Vice Chair	LIPA	Transmission Owner	X	
Rich DeJong	Avangrid Networks	Transmission Owner	X	
Tahmina Isgandarova	Con Edison	Transmission Owner	X	
Heather Welch	Central Hudson	Transmission Owner	X	
Martin Silverman	NYISO	NYISO Internal Audit		X
<i>Absent Members</i>				
Vivian Fu	National Grid	Transmission Owner	X	
<i>Visitors</i>				
Randy Browne	NYISO	n/a		
Juliann Madara	NYISO	n/a		
Greg Marcincuk	NYISO	n/a		
Bill Porter	NYISO	n/a		
John Zarvis	NYISO	n/a		

Meeting convened at 9:03 AM

1. Introductions

The Subcommittee members and visitors introduced themselves.

2. Approval of Minutes from the prior Meeting

The minutes from the November 29, 2018 MPAAS meeting were approved with no changes.

3. Open Action Items from the prior Meeting

None

4. BACWG Activities – Discussion of Billing Issue Report

The Subcommittee reviewed the February 12, 2019 Billing Issue Report (as presented to the Billing & Accounting & Credit Working Group on February 22, 2019) and had no questions.

5. NYISO SOC 1 Update

Mr. Browne provided an update on the 2018 SOC 1 Audit.

Ms. Madara provided an overview of the NYISO's plan to move certain SOC1 systems to a cloud environment.

6. NYISO Senior Management Changes

None

7. Briefings for NYISO's Completed Audits & Consulting Work

Internal Audit staff provided an update on the audit work completed since the prior MPAAS meeting:

- Audits
 - Database Audit
 - Application Penetration Testing – Installed Capacity System
 - EMS/BMS – SDLC & Site Acceptance Plans
 - Economic Withholding
 - Corporate Incentive Goals (2018)
 - Long-Term Incentive Program (2016-2018)

- Continuous Auditing
- Market Problem Reporting Procedure

- Consulting
 - NERC CIP Audit Readiness
 - System Resource Planning: Project Tracking
 - Interconnection Projects
 - Automated Test Plans
 - Alternative Locational Capacity Rights

8. Other Business / Open Discussion

The Subcommittee held an open discussion regarding the following items of general interest:

- Metering Audit Programs
- Quality Assurance Improvement Programs
- General Audit Management Conference
- Use of Robotics Process Automation in Internal Audit
- Validation of COSO Controls

9. Adjourn

The meeting was adjourned at 10:24 AM

MPAAS Schedule for 2019

- ~~March 14, 2019~~
- June 13, 2019
- September 12, 2019
- November 14, 2019